Universal localization at semiprime Goldie ideals

John A. Beachy

Northern Illinois University

Exchange of Mathematical Ideas Conference UNI August 2023

David Eisenbud, *Commutative Algebra with a View Toward Algebraic Geometry*, p. 57:

"A *local ring* is a ring with just one maximal ideal. Every since Krull's paper (1938) local rings have occupied a central position in commutative algebra. The technique of *localization* reduces many problems in commutative algebra to problems about local rings. This often turns out to be extremely useful.

Most of the problems with which commutative algebra has been successful are those that can be reduced to the local case."

The challenge is to find a good analog for noncommutative rings.

If *R* is a commutative Noetherian ring an ideal $P \subseteq R$ is by definition a prime ideal if its complement C(P) is a multiplicatively closed set. We can use fractions $\frac{a}{c}$, with $a \in R$, $c \in C(P)$, to construct a ring R_P and ring homomorphism $\lambda : R \to R_P$ which inverts the elements of C(P). We have the following properties.

(i) The ideal PR_P is the unique maximal ideal of R_P .

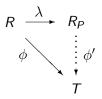
(ii) R/PR_P is isomorphic to the field of quotients Q(R/P) of R/P. (iii) For $r \in R$, $\lambda(r) = 0$ iff cr = 0 for some $c \in C(P)$.

(iv) The functor $R_P \otimes_R - : R - \text{Mod} \to R_P - \text{Mod}$ takes short exact sequences to short exact sequences.

ゆ く き と く ゆ と

 $\lambda: R \to R_P$ can be defined as the ring homomorphism universal with respect to the property that if $c \in C(P)$ then $\lambda(c)$ is invertible in R_P .

That is, if $\phi : R \to T$ inverts C(P), then there exists a unique ring homomorphism ϕ' such that the following diagram commutes.



In a noncommutative ring R an ideal P is called prime if $AB \subseteq P$ implies $A \subseteq P$ or $B \subseteq P$, for all ideals A, B of R.

Example 1. Let $R = \begin{bmatrix} \mathbb{Z} & \mathbb{Z} \\ \mathbb{Z} & \mathbb{Z} \end{bmatrix}$ and $P = \begin{bmatrix} 2\mathbb{Z} & 2\mathbb{Z} \\ 2\mathbb{Z} & 2\mathbb{Z} \end{bmatrix}$. Then *P* is prime since the ideals of *R* are in one-to-one correspondence with the ideals of the \mathbb{Z} .

Note that $R/P \cong \begin{bmatrix} \mathbb{Z}/2\mathbb{Z} & \mathbb{Z}/2\mathbb{Z} \\ \mathbb{Z}/2\mathbb{Z} & \mathbb{Z}/2\mathbb{Z} \end{bmatrix}$, and that this factor ring has divisors of zero.

The logical candidate for a localization of R at P is $\begin{bmatrix} \mathbb{Z}_{(2)} & \mathbb{Z}_{(2)} \\ \mathbb{Z}_{(2)} & \mathbb{Z}_{(2)} \end{bmatrix}$, which can be constructed by inverting all scalar matrices with an odd entry.

If R is a subring of Q, then R is a *left order* in Q if (i) each $c \in R$ that is not a divisor of zero has an inverse in Q, and (ii) each $q \in Q$ can be written in the form $c^{-1}a$, for $a, c \in R$, where c is regular (not a divisor of zero).

To put the product ac^{-1} into standard form we need to be able to find a_1 and c_1 with $ac^{-1} = c_1^{-1}a_1$, where c_1 is regular. The existence of a_1, c_1 with $c_1a = a_1c$ is the *left Ore condition*. Then $c^{-1}a \cdot d^{-1}b$ can be put into standard form by finding a_1 and d_1 with $d_1a = a_1d$, so that $ad^{-1} = d_1^{-1}a_1$.

Comment: The Ore condition fails in many (if not most) cases when trying to construct a localization at a prime ideal, so it is a major stumbling block for noncommutative localization. One answer is to focus on the categorical properties of $R_P \otimes -$, as in Gabriel's thesis.

ト < 注) < 注)</p>

Goldie's theorem (1958) shows that R is a left order in a full ring of $n \times n$ matrices over a skew field if and only if R is a prime ring with ACC on left annihilators and finite uniform dimension. (These finiteness conditions always hold when R is left Noetherian.) This ring of quotients is called the *classical ring of left quotients of* Rand is denoted by $Q_{cl}(R)$.

We are now ready to look at Cohn's approach to noncommutative localization. We focus on prime ideals of R for which $Q_{cl}(R/P)$ exists. We would like to invert C(P), which we must now redefine as the set of elements that are regular modulo P (not as the complement of P). Equivalently, these are the elements inverted by the canonical homomorphism $R \rightarrow R/P \rightarrow Q_{cl}(R/P)$.

In Example 1, where P is the set of 2×2 matrices with even entries, C(P) is the set of matrices whose determinant is odd.

If *P* is a prime Goldie ideal for which C(P) satisfies the left Ore condition and is left reversible (if ac = 0 for $c \in C(P)$, then c'a = 0 for some $c' \in C(P)$) then the construction of a localization R_P goes through much as in the commutative case, and all four of the properties listed above still hold.

Example 2.

$$R = \begin{bmatrix} \mathbb{Z} & 0 \\ \mathbb{Z} & \mathbb{Z} \end{bmatrix}$$
, $P_1 = \begin{bmatrix} 2\mathbb{Z} & 0 \\ \mathbb{Z} & \mathbb{Z} \end{bmatrix}$, $P_2 = \begin{bmatrix} \mathbb{Z} & 0 \\ \mathbb{Z} & 2\mathbb{Z} \end{bmatrix}$.

Then $R/P_i \cong \mathbb{Z}/2\mathbb{Z}$ and so $Q_{cl}(R/P_i) = R/P_i$ is a field, making P_i as nice a prime Goldie ideal as possible. But P_1 satisfies the left Ore condition, while P_2 does not.

The ideal
$$P_1$$
 satisfies the left Ore condition:
given $a = \begin{bmatrix} a_{11} & 0 \\ a_{21} & a_{22} \end{bmatrix} \in R$ and $c = \begin{bmatrix} c_{11} & 0 \\ c_{21} & c_{22} \end{bmatrix} \in C(P_1)$ we
need to solve $c'a = a'c$ with $c' \in C(P)$.
 $\begin{bmatrix} c_{11} & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} a_{11} & 0 \\ a_{21} & a_{22} \end{bmatrix} = \begin{bmatrix} a_{11} & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} c_{11} & 0 \\ c_{21} & c_{22} \end{bmatrix}$.

The ideal P_2 does *not* satisfy the left Ore condition: given $\begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} \in R$ and $\begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \in C(P_2)$ the equation $\begin{bmatrix} c_{11} & 0 \\ c_{21} & c_{22} \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} a_{11} & 0 \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$ has no solution with c_{22} odd. We now turn to properties (i) and (ii) of the commutative case: (i). $\lambda : R \to R_P$ is universal with respect to the property that if $c \in C(P)$ then $\lambda(c)$ has an inverse in R_P . (ii). The ideal PR_P is the unique maximal ideal of R, and R_P/PR_P is isomorphic to Q(R/P).

A ring satisfying (i) can be defined, but it may be the zero ring.

A new approach inverting matrices rather than elements was introduced by Cohn in **Free Rings and Their Relations** (1971) and *Inversive localization in Noetherian rings*, Commun. Pure Appl. Math. **26** (1973), 679-691.

It's useful to generalize to a semiprime ideal S for which $Q_{cl}(R/S)$ exists and is semisimple Artinian, i.e. for which R/S is a semiprime left Goldie ring. In this case we say that S is a semiprime Goldie ideal.

Definition of the universal localization

Let S be a semiprime Goldie ideal. Then $R \to R/S \to Q_{cl}(R/S)$ inverts all matrices regular modulo S because $Q_{cl}(M_n(R/S)) \cong M_n(Q_{cl}(R/S))$. Let $\Gamma(S) = \bigcup_{n=1}^{\infty} \Gamma_n(S)$ be the set of all square matrices regular over R/S.

Definition (Cohn, 1973, Noetherian case)

The universal localization $R_{\Gamma(S)}$ of R at a semiprime Goldie ideal S is the ring universal with respect to inverting all matrices in $\Gamma(S)$.

That is, if $\phi : R \to T$ inverts all matrices in $\Gamma(S)$, then there exists a unique ϕ' such that the following diagram commutes.

$$R \xrightarrow{\lambda} R_{\Gamma(S)}$$

$$\phi \xrightarrow{\downarrow} \phi'$$

$$T$$

Note: If *S* is left localizable, then $R_{\Gamma(S)}$ coincides with the Ore localization R_S defined via elements.

Theorem

Let S be a semiprime Goldie ideal of R.

(a) (Cohn, 1971) The universal localization of R at S exists.

(b) (Cohn, 1971) The canonical mapping $\lambda : R \to R_{\Gamma(S)}$ is an epimorphism in the category of rings.

(c) (1981) The ring $R_{\Gamma(S)}$ is flat as a right module over R if and only if S is a left localizable ideal.

Cohn's construction showing that $R_{\Gamma(S)}$ exists: For each *n* and each $n \times n$ matrix $[c_{ij}]$ in $\Gamma(S)$, take a set of n^2 symbols $[d_{ij}]$,

and take a ring presentation of $R_{\Gamma(S)}$ consisting of all of the elements of R, as well as all of the elements d_{ij} as generators; as defining relations take all of the relations holding in R, together with all of the relations $[c_{ij}][d_{ij}] = I$ and $[d_{ij}][c_{ij}] = I$ which define all of the inverses of the matrices in $\Gamma(S)$.

Theorem (Cohn, 1971)

Each element of $R_{\Gamma(S)}$ is an entry in a matrix of the form $(\lambda(C))^{-1}$, for some $C \in \Gamma(S)$, where $\lambda : R \to R_{\Gamma(S)}$.

Theorem

Let S be a semiprime Goldie ideal of R. (a) (Cohn, 1973) $R_{\Gamma(S)}$ modulo its Jacobson radical is naturally isomorphic to $Q_{cl}(R/S)$. (b) (1981) $R_{\Gamma(S)}$ is universal with respect to the property in (a). In

fact, $\lambda : R \to R_{\Gamma(S)}$ is characterized by this property.

Theorem (1981)

Let R be left Noetherian, let N be the prime radical of R, and let $K = \ker(\lambda)$, for $\lambda : R \to R_{\Gamma(N)}$. (a) The kernel K is the intersection of all ideals $I \subseteq N$ such that $C(N) \subseteq C(I)$. (b) The ring R/K is a left order in a left Artinian ring, and $R_{\Gamma(N)}$ is naturally isomorphic to $Q_{cl}(R/K)$.

- 4 同 6 4 日 6 4 日 6

Definition

Let S be a semiprime Goldie ideal of R, with $\lambda : R \to R_{\Gamma(S)}$. The n^{th} symbolic power of S is $S^{(n)} = \lambda^{-1}(R_{\Gamma(S)}\lambda(S^n)R_{\Gamma(S)})$.

Theorem (1984)

If *R* is left Noetherian, then the following conditions hold for the symbolic powers of the semiprime ideal *S*.

(a) $S^{(n)}$ is the intersection of all ideals I such that $S^n \subseteq I \subseteq S$ and $C(S) \subseteq C(I)$.

(b) C(S) is a left Ore set modulo $S^{(n)}$.

(c) $R_{\Gamma(S)}\lambda(S^n)R_{\Gamma(S)} = (J(R_{\Gamma(S)})^n$, for all n > 0.

(d) $R/S^{(n)}$ is an order in the left Artinian ring $R_{\Gamma(S)}/(J(R_{\Gamma(S)}))^n$.

In two papers in 1967 and 1968, Goldie defined a localization at a prime ideal P of a Noetherian ring R by first factoring out the intersection $\bigcap_{n=1}^{\infty} P^{(n)}$ of the symbolic powers. He then took the inverse limit of the Artinian quotient rings $Q_{cl}(R/P^{(n)})$, and finally defined an appropriate subring of this inverse limit.

Theorem (1984)

Let P be a prime ideal of the Noetherian ring R. Then Goldie's localization of R at P is isomorphic to $R_{\Gamma(P)} / \bigcap_{n=1}^{\infty} J^n$, where J is the Jacobson radical of $R_{\Gamma(P)}$.

Theorem (1976)

If $_{R}K \subseteq S$ is finitely generated, with $K^{2} = K$, then $K \subseteq \ker(\lambda)$.

Proof.

Let $K = \sum_{i=1}^{n} Rx_i$, for $x_1, \ldots, x_n \in R$. Since $K = K^2$, we have $K = \sum_{i=1}^{n} Kx_i$. For $\mathbf{x} = (x_1, \ldots, x_n)$ we have $\mathbf{x}^t = A\mathbf{x}^t$, where the $n \times n$ matrix A has entries in $K \subseteq S$. Thus $(I_n - A)\mathbf{x}^t = \mathbf{0}^t$. But $I_n - A$ is invertible modulo S, so it certainly belongs to $\Gamma(S)$. Therefore the entries of \mathbf{x} must belong to ker (λ) , and so $K \subseteq \text{ker}(\lambda)$.

Corollary

 $R_{\Gamma(P)}$ can be determined for a prime ideal P of an hereditary Noetherian prime ring, since in HNP rings each prime ideal is either localizable or idempotent.

Example 2 again

Example 2: $R = \begin{vmatrix} \mathbb{Z} & 0 \\ \mathbb{Z} & \mathbb{Z} \end{vmatrix}$, $P_2 = \begin{vmatrix} \mathbb{Z} & 0 \\ \mathbb{Z} & 2\mathbb{Z} \end{vmatrix}$, $K = \begin{vmatrix} \mathbb{Z} & 0 \\ \mathbb{Z} & 0 \end{vmatrix}$. Then $K^2 = K$, so $K \subseteq \ker \lambda$, for $\lambda : R \to R_{\Gamma(P_2)}$. It follows easily that $K = \ker \lambda$ and $R_{\Gamma(P_2)}$ is isomorphic to $\mathbb{Z}_{(2)}$. An alternate approach: Recalling that $P_1 = \begin{bmatrix} 2\mathbb{Z} & 0 \\ \mathbb{Z} & \mathbb{Z} \end{bmatrix}$, we can invert the scalar matrices in $C(P_1 \cap P_2)$ to obtain $R_{P_1 \cap P_2}$ $= \begin{bmatrix} \mathbb{Z}_{(2)} & 0 \\ \mathbb{Z}_{(2)} & \mathbb{Z}_{(2)} \end{bmatrix} \text{ with maximal ideal } \widehat{P_2} = \begin{bmatrix} \mathbb{Z}_{(2)} & 0 \\ \mathbb{Z}_{(2)} & 2\mathbb{Z}_{(2)} \end{bmatrix}.$ Factoring out $\bigcap_{i=n}^{\infty} \widehat{P_2}^n$ yields $R_{\Gamma(P_2)} \cong \mathbb{Z}_{(2)}$.

This illustrates a two-step approach: use the Ore localization at a suitable semiprime ideal, followed by its universal localization, which in this case is just a factor ring.

Missing chain conditions on $R_{\Gamma(S)}$

Example 3. If
$$P_3 = \begin{bmatrix} \mathbb{Z} & 0 \\ \mathbb{Z} & 3\mathbb{Z} \end{bmatrix}$$
, then $R_{\Gamma(P_1 \cap P_3)} = \begin{bmatrix} \mathbb{Z}_{(2)} & 0 \\ \mathbb{Q} & \mathbb{Z}_{(3)} \end{bmatrix}$.
This ring is no longer Noetherian. Bill Blair and I had to work much harder to produce such an example for a prime ideal.

Recall that in a ring finitely generated as a module over its center, the *clique* of a prime ideal P is the set of prime ideals with the same intersection down to the center of the ring.

Theorem (2016, with Christine Leroux)

If R is finitely generated as a module over its Noetherian center, and P is a prime ideal that does not contain the intersection of symbolic powers of any other prime ideal in the clique of P, then $R_{\Gamma(P)}$ is the homomorphic image of the Ore localization at the clique of P, and therefore it is Noetherian. Let S be a semiprime Goldie ideal of R. Each element in the universal localization $R_{\Gamma(S)}$ has the form $e_i\lambda(C)^{-1}e_j^t$ for unit vectors $e_i, e_j \in R^n$ and a matrix $C \in \Gamma_n(S)$.

Instead of modeling elements of the form $c^{-1}a$ where $c \in C(S)$, via ordered pairs (c, a), we model elements of the form $\lambda(a)\lambda(C)^{-1}(\lambda(b))^t$ where $C \in \Gamma_n(S)$ and $a, b \in R^n$.

Let X be a left R-module. To construct a module of quotients, consider ordered triples (a, C, x^t) where $a \in R^n$, $C \in \Gamma_n(S)$, and $x \in X^n$, for all positive integers n.

If C, U, V are matrices that are already invertible, then $aC^{-1}x^t = aU(VCU)^{-1}Vx^t$. Consequently we say that $(aU, VCU, Vx^t) \equiv (a, C, x^t)$ if U, V are invertible matrices. Model for addition: Suppose C, D are already invertible.

$$\begin{bmatrix} a & b \end{bmatrix} \begin{bmatrix} C & 0 \\ 0 & D \end{bmatrix}^{-1} \begin{bmatrix} x^t \\ y^t \end{bmatrix} = \begin{bmatrix} a & b \end{bmatrix} \begin{bmatrix} C^{-1} & 0 \\ 0 & D^{-1} \end{bmatrix} \begin{bmatrix} x^t \\ y^t \end{bmatrix} = aC^{-1}x^t + bD^{-1}y^t$$

Definition

$$(a, C, x^t) + (b, D, y^t) = \left(\begin{bmatrix} a & b \end{bmatrix}, \begin{bmatrix} C & 0 \\ 0 & D \end{bmatrix}, \begin{bmatrix} x^t \\ y^t \end{bmatrix} \right)$$

This is a commutative, associative binary operation.

Scalar multiplication (without the Ore condition)

Model for scalar multiplication: Suppose C, D are invertible.

$$\begin{bmatrix} a & 0 \end{bmatrix} \begin{bmatrix} C & -r^{t}b \\ 0 & D \end{bmatrix}^{-1} \begin{bmatrix} 0 \\ y^{t} \end{bmatrix} =$$
$$\begin{bmatrix} a & 0 \end{bmatrix} \begin{bmatrix} C^{-1} & C^{-1}r^{t}bD^{-1} \\ 0 & D^{-1} \end{bmatrix} \begin{bmatrix} 0 \\ y^{t} \end{bmatrix} =$$
$$\begin{bmatrix} aC^{-1} & aC^{-1}r^{t}bD^{-1} \end{bmatrix} \begin{bmatrix} 0 \\ y^{t} \end{bmatrix} = aC^{-1}r^{t} \cdot bD^{-1}y^{t}$$

Definition

$$(a, C, r^t) \cdot (b, D, y^t) = \left(\begin{bmatrix} a & 0 \end{bmatrix}, \begin{bmatrix} C & -r^t b \\ 0 & D \end{bmatrix}, \begin{bmatrix} 0 \\ y^t \end{bmatrix} \right)$$

A⊒ ▶ ∢ ∃ ▶

Let *K* be the subsemigroup generated by all congruence classes of the form $(0, C, x^t)$ and $(a, C, 0^t)$. Then we define $(a, C, x^t) \sim (b, D, y^t)$ if there exist $z_1, z_2 \in K$ with $(a, C, x^t) + z_1 = (b, D, y^t) + z_2$.

The equivalence relation \sim defines a congruence, and modding out by it produces an abelian group.

If C, C_1 are invertible matrices such that $C_1A = A_1C$ for matrices A, A_1 , then $AC^{-1} = C_1^{-1}A_1$ and so $aAC^{-1}x^t = aC_1^{-1}A_1x^t$.

Lemma

Let $a \in \mathbb{R}^m$, $C \in \Gamma_n(S)$, $x \in X^n$, and let A be any $m \times n$ matrix. If there exist $C_1 \in \Gamma_m(S)$ and an $m \times n$ matrix A_1 such that $C_1A = A_1C$, then $(aA, C, x^t) \sim (a, C_1, A_1x^t)$.

Theorem (1989)

(a) The above addition and multiplication, modulo congruence given by \sim , define a ring of quotients $\Gamma^{-1}R$ and a module of quotients $\Gamma^{-1}X$. (b) Elements of $\Gamma^{-1}R$ are entries in the inverse of a matrix in $\Gamma(S)$.

Theorem (1989)

$$\Gamma^{-1}R \cong R_{\Gamma(S)}$$
 and $\Gamma^{-1}X \cong R_{\Gamma(S)} \otimes_R X$.

This construction makes it possible give some characterizations of the kernel of $\lambda : R \to R_{\Gamma(S)}$. There are criteria due to Malcolmson, and to Gerasimov, but unfortunately trying to use them in this context is difficult.

Theorem (Forster-Swan, first part)

Let R be commutative Noetherian and $_RM$ be finitely generated. The minimal number of generators of M is less than or equal to $\max_{\{P \text{ prime}\}} \{$ the minimal number of generators of M_P + the Krull dimension of $R/P\}$.

The minimal number of generators of M_P can be calculated as the dimension of the vector space $M_P/J(R_P)M_P$ over $R_P/J(R_P)$.

To prove a noncommutative version of the theorem, Stafford had to make a number of adjustments.

Among other "adjustments", because there was no suitable analog of localization, he replaced $M_P/J(R_P)M_P$ by $Q_{cl}(R/P) \otimes_R M/PM$.

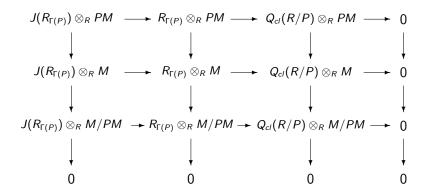
Theorem (with Mauricio Medina)

Let P be a prime ideal of a left Noetherian ring. Then $Q_{cl}(R/P) \otimes_{R/P} M/PM \cong (R_{\Gamma(P)} \otimes_R M) / J(R_{\Gamma(P)}) (R_{\Gamma(P)} \otimes_R M).$

Proof: We have the following exact sequences: $0 \rightarrow J(R_{\Gamma(P)}) \rightarrow R_{\Gamma(P)} \rightarrow Q_{cl}(R/P) \rightarrow 0$ as right *R*-modules, $0 \rightarrow PM \rightarrow M \rightarrow M/PM \rightarrow 0$ as left *R*-modules. (1) $Q_{cl}(R/P)$ is a right R/P module, so it is annihilated by *P*, and therefore $Q_{cl}(R/P) \otimes_R PM = 0$.

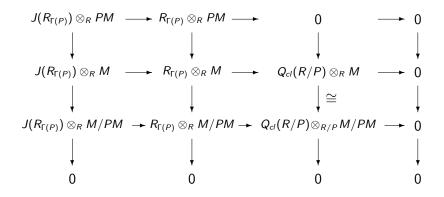
(2) Since M/PM is a left R/P-module, $Q_{cl}(R/P) \otimes_R M/PM = Q_{cl}(R/P) \otimes_{R/P} M/PM.$

(3) The image of the mapping from $J(R_{\Gamma(P)}) \otimes_R M$ into $R_{\Gamma(P)} \otimes_R M$ is $J(R_{\Gamma(P)}) (R_{\Gamma(P)} \otimes_R M)$.



伺 と く ヨ と く ヨ と …

3



A I > A I > A

э

Conclusion: $Q_{cl}(R/P) \otimes_{R/P} M/PM \cong (R_{\Gamma(P)} \otimes_R M) / J(R_{\Gamma(P)}) (R_{\Gamma(P)} \otimes_R M)$

The language of universal localization makes Stafford's "work-around" look like a method from the theory of commutative localization.

Thank you!

æ

Э

合 ▶ ◀

Theorem (Gerasimov, 1982) $r \in \ker(\lambda) \text{ for } \lambda : R \to R_{\Gamma(S)} \text{ iff there is a relation of the form}$ $\begin{bmatrix} 0 & r \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} \\ C_{21} & A_{22} \end{bmatrix} \begin{bmatrix} B_{11} & b_{12} \\ D_{21} & b_{22} \end{bmatrix}$ with $C_{21}, D_{21} \in \Gamma(S)$.

Theorem (Malcolmson, 1982)

 $r \in \text{ker}(\lambda)$ for $\lambda : R \to R_{\Gamma(S)}$ iff there exist $a, b \in R^n$ and $P, Q \in \Gamma_n(S)$ such that

 $r = ab^t$ and $(aQ, PQ, Pb^t) = (0, P', c^t) + (d, Q', 0)$

for some c, d and $P', Q' \in \Gamma(S)$.